

20427 U.S. PTO  
033004

PATENT APPLICATION TRANSMITTAL LETTER

22782 U.S. PTO  
10/813338  
033004

TO: **Mail Stop: PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: RD30742/GLOZ 200133 Express Mail Label No.: EL 998015196 US

Transmitted herewith for filing under

35 USC 111(a) and 37 CFR 1.53(b) (NEW UTILITY OR DESIGN PATENT APPLICATION)  
as a new: ☒ utility or ☐ design patent application

for application entitled: LED ILLUMINATION DEVICE WITH LAYERED PHOSPHOR PATTERN

and invented by: Anant Achyut Setlur, Joseph John Shiang, Alok Mani Srivastava,  
Holly Ann Comanzo, Stanton Earl Weaver and Charles Adrian Becker

Enclosed are:

1. ☒ Specification with 18 total pages having:
  - a. ☒ Claims numbered from 1 to 37
  - b. ☒ Abstract
  - c. ☒ Drawing (s) (35 USC 113) as follows:
    - (1) ☐ Formal ☒ InformalTotal sheets - 4 with Figures No. 1-4
  - d. ☒ Oath or Declaration with 3 total pages as follows:
    - (1) ☒ Newly executed and signed ☐ Unsigned
    - (2) ☐ Copy from prior application (37 CFR 1.63d) Serial No. filed on
    - (3) ☒ With Power of Attorney ☐ Without Power of Attorney
2. ☒ Assignment (Coversheet and documents)
3. ☐ Preliminary Amendment
4. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
5. ☐ Application Data Sheet
6. ☒ Information Disclosure Statement
  - ☒ PTO-1449 ☐ Copies of Cited Documents
7. ☐ Applicant hereby claims the 35 U.S.C. §119 benefit of the filing date of prior foreign Certified Copy of Priority Document
  - ☐ Enclose ☐ Will be filed subsequently
8. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i) Applicant must attach form PTO/5B/35 or its equivalent
9. ☐ Additional Enclosures as follows:
  - a. ☐
  - b. ☐
10. If a continuation or divisional application:
  - ☐ continuation ☐ division ☐ continuation-in-part of prior application Serial No. filed on:
  - ☐ Cancel in this application original claims of the prior application before calculating the filing fee (at least one independent claim must be retained for filing purposes)
  - ☐ Amend the specification by inserting before the first line: This application is a application Serial No. filed on which is hereby incorporated by reference in its entirety.
  - ☐ Prior application information: Examiner Group Art UnitFor CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 1 d (2), is considered a part of the disclosure of the

incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

11. ☒ Filing fee calculated and transmitted:  
a. ☒ utility patent application filing fee as described below for the claims as filed less any canceled above

Large Entity				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	37 - 20 =	17	x \$ 18	= \$306.00
INDEPENDENT CLAIMS	4 - 3 =	1	x \$ 86	= \$ 86.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE)		ANY -- 0	\$ 290	= \$0.00
BASIC FEE (37 CFR 1.16(a))				\$770.00
TOTAL FILING FEE				= \$1,162.00

- b. ☐ design patent application filing fee of \$340.00  
c. ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-0308 as described below. A duplicate copy of this sheet is enclosed.  
(1) Charge the amount of ☐ \$340.00 ☐ \$770.00 ☐ \$ for the filing fee.  
(2) ☒ Credit any overpayment.  
(3) ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.  
(4) ☐ Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
d. ☒ A check in the amount of **\$1,162.00** for the filing fee is enclosed.

Date: March 30, 2004  
Attorney Name: Scott A. McCollister  
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 as Express Mail No. **EL998015106US** and is addressed to the **Mail Stop PATENT APPLICATION**, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Name Cathryn Terchek  
Cathryn Terchek

Date March 30 2004